

12/15/00

12/15/00 U.S. PTO

12-18-00

U.S. PTO  
738963

12/15/00

A

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PD990096  
First Inventor or Application Identifier Jorgen Engesser, et al.  
Title Search Mode for a D-VHS Recorder  
Express Mail Label No. EL675421737US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification (Total Pages 11)  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 2)
4. Oath or Declaration (Total Pages 1)
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 (5 Copies of IDS Citations)
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Search Report

**\*NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).****16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name	Joseph S. Tripoli				
	Thomson Multimedia Licensing Inc.				
Address	Patent Operation				
	Two Independence Way, P. O. Box 5312				
City	Princeton	State	NJ	Zip Code	08543-5312
Country	USA	Telephone	609/734-9864	Fax	609/734-9700

Name (Print/Type)	Francis A. Davenport	Registration No. (Attorney/Agent)	36,316
Signature	Francis A. Davenport		Date

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 750

## Complete if Known

Application Number

Filing Date

HEREWITH

First Named Inventor

Jurgen Engesser, et al

Examiner Name

Group Art Unit

Attorney Docket No.

PD990096

PTO  
09/15/00  
18715-100

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

101 710 201 355 Utility filing fee  
106 320 206 160 Design filing fee  
107 490 207 245 Plant filing fee  
108 710 208 355 Reissue filing fee  
114 150 214 75 Provisional filing fee

Fee Paid

710

SUBTOTAL (1) (\$) 710

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
 Independent Claims  -3\*\* =  X  =   
 Multiple Dependent  =

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20  
102 80 202 40 Independent claims in excess of 3  
104 270 204 135 Multiple dependent claim, if not paid  
109 80 209 40 \*\* Reissue independent claims over original patent  
110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Small  
Entity Entity  
Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description Fee Paid

105 130 205 65 Surcharge - late filing fee or oath  
127 50 227 25 Surcharge - late provisional filing fee or cover sheet  
139 130 139 130 Non-English specification  
147 2,520 147 2,520 For filing a request for *ex parte* reexamination  
112 920\* 112 920\* Requesting publication of SIR prior to Examiner action  
113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner action  
115 110 215 55 Extension for reply within first month  
116 390 216 195 Extension for reply within second month  
117 890 217 445 Extension for reply within third month  
118 1,390 218 695 Extension for reply within fourth month  
128 1,890 228 945 Extension for reply within fifth month  
119 310 219 155 Notice of Appeal  
120 310 220 155 Filing a brief in support of an appeal  
121 270 221 135 Request for oral hearing  
138 1,510 138 1,510 Petition to institute a public use proceeding  
140 110 240 55 Petition to revive - unavoidable  
141 1,240 241 620 Petition to revive - unintentional  
142 1,240 242 620 Utility issue fee (or reissue)  
143 440 243 220 Design issue fee  
144 600 244 300 Plant issue fee  
122 130 122 130 Petitions to the Commissioner  
123 130 123 130 Petitions related to provisional applications  
126 180 126 180 Submission of Information Disclosure Stmt  
581 40 581 40 Recording each patent assignment per property (times number of properties)  
146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))  
149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))  
179 710 279 355 Request for Continued Examination (RCE)  
169 900 169 900 Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40

## SUBMITTED BY

Name (Print/Type)

Francis A. Davenport

Registration No.  
(Attorney/Agent)

36,316

## Complete (if applicable)

Telephone

609-734-9864

Signature

Francis A. Davenport

Date

Feb 15 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.